# Reliable, Parallel Storage Architecture: RAID & Beyond

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> garth.gibson@cs.cmu.edu URL: http://www.cs.cmu.edu/Web/Groups/PDL/ Anonymous FTP on ftp.cs.cmu.edu in project/pdl

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Gibson, et al, Compcon, 95.



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- RAID basics: striping, RAID levels, controllers
- recent advances in disk technology
- expanding RAID markets
- RAID reliability: high and higher
- RAID performance: fast recovery, small writes
- embedding storage management
- exploiting disk parallelism: deep prefetching
- RAID over the network



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# **Review of RAID Basics (RAID in the 80s)**

Motivating need for more storage parallelism

**Striping for parallel transfer, load balancing** 

**Rapid review of original RAID levels** 

Simple performance model of RAID levels 1, 3, 5

**Basic controller design** 

# RAID = Redundant Array of Inexpensive (Independent) Disks



Patterson, Gibson, Katz, Sigmod, 88. P. Massiglia, DEC, RAIDBook, 93.

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ISCA'95 Reliable, Parallel Storage Tutorial

G. Gibson, CMU

# What I/O Performance Crisis?

#### **Existing huge gap in performance**

• access time ratio, disk:dram, is 1000 X sram:dram, onchip:sram

# **Cache-ineffective applications stress hierarchy**

• video, data mining, scientific visualization, digital libraries

#### **Increasing gap in performance**

• 40-100+%/year VLSI versus 20-40%/year magnetic disk

# Amdahl's law implies diminishing decreases in application response time



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# **More Disk Array Motivations**

**Volumetric and floorspace density** 

**Exploit best technology trend to smaller disks** 

**Increasing requirement for high reliability** 

**Increasing requirement for high availability** 

**Enabling technology: SCSI storage abstraction** 



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# **Data Striping for Read Throughput**

# Parallelism will only be effective if

- load balance high concurrency, small accesses
- parallel transfer low concurrency, large accesses

# Striping data provides both



• uniform load for small independent accesses

stripe unit large enough to contain single accesses

 parallel transfer for large accesses stripe unit small enough to spread access widely



Livny, Khoshafian, Boral, Sigmetrics, 87.

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# **Sensitivity to Stripe Unit Size**

#### Simple model of 10 balanced, sync'd disks



# Selecting a Good Striping Unit (S.U.)

# **Balance benefit to penalty:**

- benefit: parallel transfer shortens transfer time
- penalty: parallel positioning consumes more disk time

# Stochastic simulation study of maximum throughput yields simple rules of thumb

• 16 disks, sync'd; find max min of normalized throughput

# Given I/O concurrency (conc)

• S.U. = 1/4 \* (positioning time) \* (transfer rate) \* (conc - 1) + 1

# Given zero workload knowledge

• S.U. = 2/3 \* (positioning time) \* (transfer rate)



Chen, Patterson, ISCA, 90.

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# Adding Redundancy to Striped Arrays

# Meet performance needs with more disks in array

• implies more disks vulnerable to failure

# **Striping all data implies failure effects most files**

failure recovery involves processing full dump and all increments
 Provide failure protection with redundant code
 == RAID

# Single failure protecting codes

- general single-error-correcting code too powerful
- disk failures are self-identifying called erasures
- fact: T-error-detecting code is also a T-erasure-correcting code

# **Parity is single-disk-failure-correcting**

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#### **Parity Placement**

#### **Throughput generally insensitive**

• stochastic workload on 2 groups of 4+1, 32 KB stripe units



# Stripe Unit (S.U.) Size in RAID Writes in RAID must update redundancy code full stripe overwrites can compute new code in memory other accesses must pre-read disk blocks to determine new code • write work limits concurrency gains with large S.U. **Rerun Chen's ISCA 90 simulations in RAID 5** Given I/O concurrency (conc) read S.U. = 1/4 \* (positioning time) \* (transfer rate) \* (conc - 1) + 1 • write S.U. = 1/20 \* (positioning time) \* (transfer rate) \* (conc - 1) + 1

# Given zero workload knowledge

• S.U. = 1/2 \* (positioning time) \* (transfer rate)



Chen, Lee, U. Michigan CSE-TR-181-93.

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# **Modeling RAID 5 Performance**

#### **Queueing model for RAID 5 response time**

- controller directly manages disk queues
- separate channels for each disk
- first-come-first-serve scheduling policies
- accesses are 1 to N stripe units, N = number of data disks
- detailed model of disk seek and rotate used

#### Model succeeds for case of special parity handling

- separate, high-priority queue for parity R-M-W operations
- parity R-M-W not generated until (last) data operation starts
- parity R-M-W does not preempt current data operation
- implies parity update nearly always one rotation, finishing last



S. Chen, D. Towsley, J. Parallel and Distributed Computing, 93.

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# **Example Controller: NCR (Symbios) 6299**

# Minimal buffering for RAID 5 parity calc. only

- low cost and complexity controller quick to market
- problem: concurrent disk accesses return out-of-order (TTD/CIOP)
- problem: max RAID 5 bandwidth is single drive bandwidth



Mellon

# **Adding Buffering to RAID Controller**

#### Performance advantages; added complexity

- parallel independent transfers to all disks
- XOR placement: stream through or separate transfer
- buffer management extra buffers give higher concurrency



Disk arrays respond to increasing requirements for I/O throughput, reliability, availability

Data striping for parallel transfer, load balancing Stripe unit size important

• 1/2 to 2/3 of disk positioning time, transfer rate product

Simple code, parity, protects against disk failure Berkeley RAID levels

- Mirroring, RAID 1, is costly, faster small writes
- RAID 5, is cheaper, faster large writes; response time model exists

# Controller design hinges on XOR, buffer mgmt



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# **Current Trends in Magnetic Disk Technology**

**Rapid density increase is major cause of change** 

Access time and disk diameter lagging

**Embedded intelligence for value-added** 

Interface technologies up in the air

**Competing technologies have niche roles** 



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# **Areal Density Driving Magnetic Disk Trends**

#### **1989** sea change in trends

- prior to 1989, density grew at 27% per year
- since 1989, density is growing at 60% per year linear bit density growing at 20+% per year tracks per inch growing at 30+% per year
- 2.5" and 3.5" products with 600+ Mbits/sq.inch in 1995
- spurred by wide acceptance of SCSI open standard
- magnetic disk densities are greater than optical disk densities

#### Much higher densities demonstrated in lab

- IBM: 1 Gbit/sq.inch in 1989, 3 Gbit/sq.inch in 1995
- CMU's DSSC target is 10 Gbits/sq.inch in 1998



# **Magnetic Disk Price Trends**

#### **Revenue per unit shipped constant over time**

- density growing 60% per year -> price falling 40% per year
- some claim price has been falling by 50% per year since 92

#### Current price leader 3.5": .23-.40 \$/MB (.31wtd)

• 2.5": .5-1.1 \$/MB; 1.8": 1.1-2.9 \$/MB

#### **Total revenue stream growing slowly**

- 1994 brought in \$23 billion on 60 million units
- projected yearly revenue increase in billion dollars:
  2.7 in 1995, 5.1 in 1996, 2.3 in 1997, 1.9 in 1998, 1.4 in 1999
- competition for market share may reduce vendors

B. Frank, Augur Visions Inc, J. Molina, Tech Forums, and G. Garrettson, Censtor, RAID'95 Forum, April 95.

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Carnegie

Melldn

# Key Magnetic Disk Technologies

#### Magneto-resistive heads

- replace single inductive (thin film) head with two heads: one inductive write head, one magneto-resistive head
- write-wide, read-narrow; higher signal-noise on read

#### **Ever lower flying heights (< 2 microinches)**

- reduced mass sliders, thinner/smoother surfaces
- tolerating frequent "skiing"

# **Decoding interfering signals (PRML)**

- bits too close to ignore interference
- decode based on sequence of samples, neighbor bit values



# Magnetic Disk Performance Trends

#### Access time decreases near 1/year curve

- median access times: 25 ms in 1990, 15 ms in 1995, 10 ms in 1999
- minimum access times: 15 ms in 1990, 12 ms in 1995, 10 ms in 1996

#### Data rate growing with bits/inch and rpm

- long term growth at 10% year (fixed rpm)
- in last couple of years increased near 75% per year
- limited by decode circuit (analog/digital VLSI)



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# **Disk Diameter Trends**

#### **Decreasing diameter dominated 1980s**

- 5.25" created desktop market (16+ GB soon)
- 3.5" created laptop market (4+ GB 1/2 high; 500+ MB 19mm)
- 2.5" dominating laptop market (200+ MB; IBM 720 MB)
- 1.8" creating PCMCIA disk market (80+ MB)

#### Decreasing diameter trend slowed to a stop

- 1.8" market not 10X 2.5" market
- 1.3" (HP Kittyhawk) discontinued
- vendors continue work on smaller disks to lower access time



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# **Small Computer System Interface (SCSI)**

• dominates market everywhere but cheapest systems

# **SCSI** provides level of indirection

- linear block address space rather than track/head/sector allows rapid introduction of non-standard geometries
- internal buffer separates bus from media allows rapid introduction of non-standard media data rates
- internal controller command queueing allows geometry-specific, real-time disk scheduling
- internal controller and buffer for caching, read-ahead, write-behind

# But SCSI does too much handshaking

• short, slow cables, limited bus ports



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# **Trends in Storage Interfaces: Serial Buses**

#### Faster, smaller, longer, more ports

#### **Multiple contenders:**

- Serial Bus (P1394): isochronous desktop peripheral bus (10MB/s)
- IBM SSA (X3T10.1): dual ring packetized disk interface (20MB/s)
- FibreChannel (X3T9.3): merge peripheral and network interconnect lengths to 10 km, speeds to 100 MB/s, multiple classes of service

#### But, parallel SCSI is not dead yet

- SCSI-2 is 8 or 16 bits parallel, 5 or 10 MHz (5, 10, 20 MB/s)
- Fast-20, Fast-40 are 20, 40 MHz (20, 40, 80 MB/s)

# **SCSI-3 isolates SCSI from physical layer**



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# What about other storage technologies?

# **Optical disk?**

- limited market; disk density surpassed optical last year
- portable use possible, but contact and flying disks are ahead
- long term role in publishing

# Magnetic tape?

- very low cost media in robots
- but low data rates (1-10 MB/s) and slow robot switch (4 min)
- long term role in archival storage

# Holographic and other advanced media?

• Tamarack, Austin TX, may deliver 20 GB WORM jukebox high data rates possible (100 MB/s?)



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# **Recap Magnetic Disk Technology**

**Rapid density increase is major cause of change** 

Access time and disk diameter lagging

**Embedded intelligence for value-added** 

Interface technologies up in the air

**Competing technologies have niche roles** 



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# **Current Trends in RAID Marketplace**

#### **Rapid market development**

- largest growth in storage subsystems, for LAN environment
- broad range of competition available

#### **RAID** standards organization

- RAID Advisory Board focuses on defining/qualifying RAID levels
- RAID support may appear in SCSI-3 specification



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# **RAID Market Trends**

#### Begun 6 years ago, \$5.7 billion in 1994

23% IBM, 22% EMC, 12% DEC, 10% Compaq, 7% HP, 4% Hitachi, 4% STK, 3% Sun, 3% DG, 2% Tandem, 1% Symbios, 1% Mylex, 1% Auspex, 1% FWB, 1% NEC

# **Continued growth predicted**

- in billion \$: 7.8 in 95, 9.7 in 96, 11.6 in 97, 13.2 in 98
- 66% -> 75% into network/minicomputer/multi-user systems
- units shipped 94 thru 97: 400,000 becomes 1,200,000 subsystems: 213,000 x 3.4 -> 730,000 boards: 120,000 x 2.5 -> 293,000 software: 72,000 x 2 -> 140,000



J. Porter, DISK/TREND, 95.

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# To promote use and understanding of RAID

• 55 member organization since formation in 92

#### Education

- RAIDBook technology and RAID level definitions
- publishes in Computer Technology Review
- hosts Comdex RAID Technology Center

# **Standardization**

- functional test suite: IO, buffering, queueing, error injection
- performance tests: synthetic: TP, FS, DB, video, backup, scientific
- host interface spec: joint development of SCSI-3 RAID support



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# **SCSI-3 Support for Storage Arrays**

# **Storage Array Conversion Layer (SACL)**

- defines, manages, accesses, reconstructs array components
- pushes mapping of data and parity into SCSI software

# **SACL** objects

- p\_extents contiguous range of blocks on one device
- ps\_extents portion of p\_extent excluding redundancy
- redundancy groups group of p\_extents sharing protection
- volume sets group of ps\_extents contiguous in user data space
- spare p\_extent, device or component avail to replace failure



G. Penokie, RAID'95 Forum, April 95.

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# **Recap RAID Market Trends**

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#### **Single correcting codes (parity)**

- coping with dependent failure modes (controllers, cables, power):
- contrasting mirroring (RAID 1) with parity (RAID 5)
- limitations in ever larger disk arrays

#### **Double correcting redundancy codes (RAID 6)**

- basics: intersecting codewords allowing recovery choices
- binary, one bit per disk (2D parity)
- non-binary, one symbol per disk (Reed-Solomon)
- binary, multiple bits per disk (IBM EvenOdd)

# **Increasing focus on electronics, software impact**



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#### **Exponential disk lifetime and repair time**

• recovery must not fail; repair with on-line hot spare



disk failure rate:  $\lambda = 1/MTTF$ -disk disk repair rate:  $\mu = 1/MTTR$ -disk



MTTF-disk >> MTTR-disk

MTTDL-RAID = \_\_\_\_\_

N G (G-1) MTTR-disk

MTTF-disk<sup>2</sup>



Patterson, Gibson, Katz, Sigmod, 88.

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# **Arrays contain Support Hardware**

#### More hardware than just disks in an array

- must defend against external power quality first
- combined effects of non-disk components rival disk failures



## **Orthogonal Parity Groups**

## Limit exposure of each parity group to one disk

• organize support hardware into independent columns



## **Mirror versus Parity Data Reliability**

#### Simple mirrors less reliable and more costly

1 hour mean recovery to spare; 150 Khour mean disk, string lifetime



# **Multiple Failure Tolerance?**

## I/O parallelism grows with processing speed

• larger arrays have more disks vulnerable to failure

#### But disk reliability has been growing at 50%/year

• allows 125%/year increase in processing speed at fixed reliability

#### No need for more powerful failure tolerance

• will disk reliability continue to rise at this rate?

#### **Increasing needs for highly reliable storage**

• are customers prepared to pay performance cost?





#### **Overheads: check space versus check update time**

- 2d-parity has minimal time overhead (3), but space grows as root
- Hamming has lower space overhead, but higher avg time cost



Gibson, Hellerstein, Asplos III, 89.

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# Non-binary Codes (P+Q in STK Iceberg)

### **Exploit existing hardware (Reed-Solomon)**



Unique check disk pair for each data disk

Nonbinary (b=2)  $C1 = (A+B) \mod 4$   $C2 = (A+2B) \mod 4$   $A = (2C1-C2) \mod 4$  $B = (C2-C1) \mod 4$ 



Multiple data disks can share same check disk pair



Gibson, MIT Press, 92.

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# IBM EvenOdd

| disk 0 | disk 1 | disk 2 | disk 3 | disk 4 | horiz parity | diag parity |
|--------|--------|--------|--------|--------|--------------|-------------|
| 1      | 0      | 1      | 1      | 0      | p0           | d0          |
| 0      | 1      | 1      | 0      | 0      | p1           | d1          |
| 1      | 1      | 0      | 0      | 0      | p2           | d2          |
| 0      | 1      | 0      | 1      | 1      | р3           | d3          |

#### **Careful selection of intersecting codewords**

- horizontal codewords: a bit from each disk at same offset (p0,p1,p2, p3) = (1, 0, 0, 1)
- diagonal codewords: a bit from each disk from different offsets

main diagonal, {disk, offset}=(4,0),(3,1),(2,2),(1,3), has parity 1 add main diagonal parity to parity of each other diagonal (d0, d1, d2, d3, d4) = (1, 1, 1, 1)+(1, 1, 0, 1) = (0, 0, 1, 0)

# All computations are XOR (no RS hardware)

#### Small random write updates 3 disks (minimum)



Blaum, Brady, Bruck, Menon, ISCA, 94.

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# **Trends to Higher Reliability: TQM**

### **Drive reliabilities rising quickly**

- 30,000 hr MTTF in 1987 -> 800,000 hr MTTF in 1995
- 50% of failures in electronics

## Subsystem reliability rising more slowly

- much larger parts count in array controllers
- much large software component in array controller

## Subsystem optimizations introduce new risk

• write-back cache failures significant

## Increased emphasis on super-disk failure modes



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# **Recap RAID Reliability**

Basic reliability decreases linearly in group size Dependent failure in support hw: orthogonal arrays Parity + spares reliability, cost best simple mirrors Need for multiple failure tolerance unclear Multiple failure tolerance possible at low cost But small write penalty substantially increased Real push is tolerance for electronics/software faults



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**On-line failure recovery: high availability** 

**Exploiting spares: faster recovery** 

Writeback caching: optimize write-induced work

Parity logging: defer parity update until efficient

Floating data and parity: remap for fast R-M-W

Log-structured: convert small to large writes



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# **On-Line Failure Recovery Performance**





- Per-disk failure-induced workload increase reduced
- Entire array bandwidth available for reconstruction
- Allows fault-free utilization > ~50%
- Map parity groups using Balanced Incomplete Block Designs or Random Selection of Permutations



Muntz, Lui, VLDB 1990. Holland, Gibson, ASPLOS V, 92. Merchant, Yu, FTCS 92.

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## **Comparing to Multiple RAID Level 5 Groups**



#### **Performance during reconstruction**



# **Exploiting Hot Spares: Distributed Sparing**

#### Distribute spare disk space in same way as parity

- Use spare actuator(s) to improve fault-free performance
- No need to reconstruct spare units
- Alleviate spare-disk bottleneck at low declustering ratio

RAID Level 5 with Dedicated Sparing RAID Level 5 with Distributed Sparing



## The Small-Write Throughput Problem

## **RAID Level 5 random small write cost 2X mirrors**

- mirroring writes two copies: two disk accesses
- RAID 5 must toggle parity bits when data bits toggle costs read then write of data, read then write of parity: four disk accesses!

# Small writes non-negligible: OLTP, Network FS

## **Major approaches**

- Caching: delay writes in cache, schedule update later
- Logging: delay parity update in log, schedule update later
- Dynamic mapping: rearrange parity mapping as needed
- most require fault-tolerance of in-memory cache or mapping tables



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# Zero Latency Writes: Writeback Caching

Delay in NVRAM or in redundant controllers Prioritize reads over delayed writes Schedule writeback efficiently

- aggregate small writes into larger writes
- exploit idle time on actuator
- greedy Shortest Access Time First scheduling

Achieve deep queue, write costs 6-8 ms Fast writes -> shorter read behind write queueing

# Widely used in most RAID products



Menon, Cortney, ISCA, 93. Solworth, Orji, Sigmod, 90.

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## **Read Performance given Zero Latency Writes**

- While idle available, read response independent of writeback work
- Idle exhausts according writeback work



# Parity Logging Extension to RAID Level 5

#### **Read/Write data at block rates**

• collect XOR of old and new data into "parity update log"

## **Read/Write log and parity at cylinder rates**

delay reintegration of parity updates into parity until efficient
Adds 2 More I/Os but 4 I/Os are > 8 times faster

• disk seconds spent for small writes comparable to mirroring







# **Dynamic Mapping: Floating Data and Parity**

# Reduce cost of one or both read-modify-write in small random overwrite

# Dynamically remap "overwrite" to closest free space after read-modify

- 1.6 blocks to next free block if 7% space hidden from user
- read-modify-write response time is 10-20% longer than read-only
- disconnects contiguous data written at different times
- fault-tolerant mapping tables can be too large if data floats

## Floating parity only with high cache hit ratio

• small random write complete in little more than 2 access times



Menon, Roche, Kasson, J. Parallel and Distributed Computing, 93.

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# **Dynamic Mapping: Log-Structured**

# New write data is accumulated and written into an empty stripe

• large write optimization computes parity in memory

**Background garbage collection of old data** 

### Log-structured file system

- focus on physical contiguity for bandwidth
- garbage collection involves copying

# Virtual parity striping

- focus on minimal parity update cost
- garbage collection involves parity recomputation



Rosenblum, Ousterhout, Symp of Operating Systems Principles, 91. Mogi, Kitsuregawa, Parallel and Distributed Info Systems, 94.

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## **On-line recovery drastic reduction in performance**

- read workload doubles on surviving disks in RAID 5
- declustering parity allows tunable degradation, cost
- declustering avoids bottlenecks in multi-group RAID 5
- on-line spares effective for very fast recovery

## **Reducing cost of small writes in parity-based arrays**

- write caching for immediate ack, write scheduling
- logging parity changes for later efficient processing
- floating allocation for fast R-M-W
- dynamic remapping (log-structured) for large write optimization



#### **Trends in Transparency**

#### **Intelligent storage management**

#### **Rapid development of architectures**

**Aggressive prefetching to increase I/O parallelism** 



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## **Trends in Storage Organization Transparency**

#### **Increasing microprocessor power in controller**

• controllers in 2000 expected to have 200 MHz processors

Cost of managing storage per year 7X storage cost

## Strong push to embed management in storage

- dynamic adaptation to workload
- selection and migration through redundancy schemes
- support for backup

# STK Iceberg, HP AutoRAID leading the push

• dynamic migration of storage representation



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## **Rapid Prototyping and Evaluation for RAID**

#### **RAIDFrame: separate policy from mechanism**

- Express RAID functions as Directed Acyclic Graph
- Execute DAGs on engine unaware of RAID architecture
- Distributable, portable "RAID N reference model"



- Roll-back and roll-forward error handling
- Automatic construction of uncommon DAGs
- Optimization of DAGs



### **Overcoming Disclosure Bottleneck: Prefetching**



- Expose concurrency
  - overlap I/O and computation
  - overlap I/O and think time
  - overlap I/O and I/O !!!!
  - I/O optimization
    - seek scheduling
    - batch processing
- Cache management
  - balance buffers between prefetch and demand



Patterson, Gibson, Parallel and Distributed Information Systems, 94.

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# **Recap Storage Transparency**

#### **Embedding intelligent storage management**

- exploit embedded processor power to simplify configuration
- dynamic migration or representations within storage system

## **Tools for rapid RAID architecture development**

• definition, evaluation, optimization tools

## **Beyond embarrassingly parallel I/O applications**

• aggressive prefetching needed to reduce latency for many tasks



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Avoid file server workstation's memory

• Fastpath data from disk to network

Handle controller failure as another disk failure

Large arrays needing more than 1 controller

**Support client file access faster than 1 controller** 

• Stripe data on controllers & switched networks



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## **Fastpath Disk and Network**

#### File server processor does not look at most bytes

- attach network and disks to RAID controller with fast bus
- scale file network bandwidth and file server CPU separately
- separate high bandwidth and low latency traffic on own net

# Berkeley RAID-II prototype: 15-21 MB/s thru LFS







## **Opportunity for Parallelism Increasing**



## **Parallel File Systems for Parallel Programs**

## • Concurrent write sharing

• Globally shared file pointers

## • Performance hungry applications

- High bandwidth to large files
- Application-specific access methods
- Application control over basic PFS parameters

# • Limited instances of any specific environment

- Emphasis on scalability and portability
- How much integration with network?



Corbett, Feitelson, Proc Scalable High-Performance Computing Conf, 94. Gibson, et.al., Compcon, 95.

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## **Recap RAID over the Network**

#### Attach storage "closer" to network

• avoid workstation memory system

## Stripe data over multiple controllers/servers

- private controller network in the box
- use host LAN for redundancy and controller communication

### Support explicit concurrent write sharing

- parallel file systems for parallel programs
- application assistance in data layout, prefetching, checkpointing



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# **Tutorial Summary**

- Basic RAID: Levels 1, 3, 5 useful
- Magnetic disk technology stronger than ever
- RAID market well beyond basic RAID
- Increasingly sophisticated function in subsystem
- How much transparency is too much?
- Striping/RAID over network emerging



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