IRIX Checkpoint and  $\text{Restart}^{^{\text{TM}}}$  Operation Guide

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# About This Guide

IRIX Checkpoint and Restart<sup>TM</sup> (IRIX CPR<sup>TM</sup>) is a facility for saving the state of running processes, and for later resuming execution where it left off. Based on the POSIX<sup>®</sup> 1003.1m standard, this facility was initially implemented in IRIX<sup>TM</sup> release 6.3.

This *IRIX Checkpoint and Restart Operation Guide* describes how to use and administer IRIX CPR, and how to program checkpointing applications.

# **Intended Audience**

This document is intended for anyone who needs to checkpoint and restart processes, including users, administrators, and application programmers.

# What This Guide Contains

Here is an overview of the material in this book:

- Chapter 1, "Using Checkpoint and Restart," explains how to checkpoint and restart a process, and how to set CPR control options.
- Chapter 2, "Administering Checkpoint and Restart," describes how to install and administer CPR, and how to configure state files.
- Chapter 3, "Programming Checkpoint and Restart," talks about how to program checkpoints into applications.

# **Resources for Further Information**

The cpr(1) reference page describes the usage and options of the *cpr* command. The ckpt\_create(3) reference page documents the CPR programming interface; ckpt\_setup(3), ckpt\_restart(3), ckpt\_stat(3), and ckpt\_remove(3) are links to the same page.

The atcheckpoint(3C) reference page describes how to set up checkpoint and restart event handlers; atrestart(3C) is a link to that page.

The internal Web site http://vagabond.engr.sgi.com/CPR/ is worth visiting for updates about the product. There is no external Web site available yet.

# **Conventions Used in This Guide**

The table below lists typographic conventions used in this guide.

Purpose	Example	
Names of shell commands	The cpr command is a command-line interface for CPR.	
Command-line options	The - ${f c}$ option checkpoints a process, and - ${f r}$ restarts it.	
System calls and library routines	Processes can checkpoint themselves with <b>ckpt_create()</b> .	
Filenames and pathnames	Statefile attributes are read from the <i>\$HOME/.cpr</i> file.	
User input (variables in italic)	срг -с statefile -р processID	
Exact quotes of computer output	state10-19: Permission denied.	
Titles of manuals	Refer to IRIX Admin: System Configuration and Operation.	
A term defined in the glossary	A DSO (dynamic shared object) is linkable at runtime.	

Chapter 1

# Using Checkpoint and Restart

IRIX Checkpoint and Restart<sup>TM</sup> (CPR) is a facility for saving a running process or set of processes and, at some later time, restarting the saved process or processes from the point already reached, without starting all over again. The checkpoint image is saved in a set of disk files, and restarted by reading saved state from these files to resume execution.

The *cpr* command provides a command-line interface for checkpointing, restarting checkpointed processes, checking the status of checkpoint and restart operations, and deleting files that contain images of checkpointed processes.

Checkpointing is useful for halting and continuing resource-intensive programs that take a long time to run. IRIX CPR can help when you need to:

- improve a system's load balancing and scheduling
- run complex simulation or modelling applications
- replace hardware for high-availability or failsafe applications

Processes can continue to run after checkpoint, and can be checkpointed multiple times.

# **Definition of Terms**

A *statefile* is a directory containing information about a process or set of processes (including the names of open files and system objects). Statefiles contain all available information about a running process, to enable restart. The new process(es) should behave just as if the old process(es) had continued. Statefiles are stored as files inside a directory, and are protected by normal IRIX security mechanisms.

A *checkpoint owner* is the owner of all checkpointed processes and the resulting statefiles. Only the checkpoint owner or superuser is permitted to perform a checkpoint. If targeted processes have multiple owners, only the superuser is permitted to checkpoint them. Only the checkpoint owner or superuser can restart checkpointed process(es) from a statefile. If the superuser performed a checkpoint, only the superuser can restart it. A *process group* is a set of processes that constitute a logical job—they share the same process group ID. For example, modern UNIX shells arrange pipelined programs into a process group, so they all can be suspended and managed with the shell's job control facilities. You can determine the process group ID using the **-p** option of the *jobs* command, built into all but the Bourne shell; see csh(1) or ksh(1). Programmers can change the process group ID using the **setpgid()** system call; see setpgid(2).

A *process session* is a set of processes started from the same physical or logical terminal. Such processes share the same session ID. You can determine the process group ID and the session ID (SID) of any process by giving the -**j** option to the *ps* command; see ps(1). Programmers can change the session ID using the **setsid()** system call; see setsid(2).

An *IRIX array session* is a set of conceptually related processes running on different nodes in an array. Support is provided by the array services daemon, which knows about array configuration and provides functions for describing and administering the processes of a single job. The principal use of array services is to run jobs that are large enough to span two or more machines.

A *process hierarchy* is the set of all child processes with a common parent. The process hierarchy is identified by giving the process ID of the parent process. A process session is one example of a process hierarchy, but by no means the only example.

A *share group* is a group of processes created from a common ancestor by **sproc()** system calls; see sproc(2). The **sproc()** call is like **fork()**, except that after **sproc()**, the new child process can share the virtual address space of the parent process. The parent and child each have their own program counter value and stack pointer, but text and data space are visible to both processes. This provides a mechanism for building parallel programs.

#### Verifying eoe.sw.cpr

To verify that CPR runs on your system, check that the eoe.sw.cpr subsystem is installed:

\$	versions eoe.sw.cpr		
I	= Installed, R = Remo	ved	
	Name	Date	Description
I	eoe	09/28/96	IRIX Execution Environment, 6.3
I	eoe.sw	09/14/96	IRIX Execution Environment Software
I	eoe.sw.cpr	09/14/96	Checkpoint and Restart

If no CPR subsystem is installed, see "Installing eoe.sw.cpr" on page 11 for instructions on installing CPR.

## **Checkpointing Processes**

To checkpoint a set of processes (one process or more), employ the -**c** option of the *cpr* command, providing a *statefile* name, and specifying a process ID with the -**p** option. For example, to checkpoint process 111 into statefile *ckptSep7*, type this command:

\$ cpr -c ckptSep7 -p 1111

To checkpoint all processes in a process group, type the process group ID (for example, 123) followed by the :GID modifier:

\$ cpr -c statefile -p 123:GID

To checkpoint all processes in a process session, type the process session ID (for example, 345) followed by the :SID modifier:

\$ cpr -c statefile -p 345:SID

To checkpoint all processes in an IRIX array session, type the array session ID (for example, 0x8000abcd00001111) followed by the :ASH modifier:

\$ cpr -c statefile -p 0x8000abcd00001111:ASH

To checkpoint all processes in a process hierarchy, type the parent process ID (for example, 567) followed by the :HID modifier:

\$ cpr -c statefile -p 567:HID

To checkpoint all processes in an **sproc()** share group, type the share group ID (for example, 789) followed by the :SGP modifier:

\$ cpr -c statefile -p 789:SGP

It is possible to combine process designators using the comma separator, as in the following example. All processes are recorded in the same *statefile*.

\$ cpr -c ckptSep8 -p 1113,1225,1397:HID

#### Naming the Checkpoint Image

You can place the *statefile* anywhere, provided you have write permission for the target directory, and provided there is enough disk space to store the checkpoint images. You might want to include the date as part of the *statefile* name, or you might want to number *statefiles* consecutively. The -f option forces overwrite of an existing *statefile*.

#### **Job Control Shells**

The C shell (*csh*), Korn shell (*ksh* or, after IRIX 6.3, *sh*), Tops C shell (*tcsh*), and GNU shell (*bash*) all support job control. The Bourne shell (*bsh*, formerly *sh*) does not. Jobs can be suspended with Ctrl+Z, backgrounded with the *bg* built-in command, or foregrounded with *fg*. All job control shells provide the *jobs* built-in command with an -l option to list process ID numbers, and a -**p** option to show the process group ID of a job.

# **Restarting Processes**

To restart a set of processes (one process or more), employ the **-r** option of the *cpr* command, providing just the *statefile* name. For example, to restart the set of processes checkpointed in *ckptSep7*, type this command:

\$ cpr -j -r ckptSep7

Use the -j option if you want to perform interactive job control after restart. Otherwise, the process group restored belongs to *init*, effectively disabling job control.

You may restart more than one *statefile* with the same *cpr* command. If a restart involves more than one process, all restarts must succeed before any process is allowed to run; otherwise all restarts fail. Restart failure can occur for any of the following reasons:

unavailable PID

The original process ID is not available (already in use), and the option to allow ANY process ID was not in effect.

component unavailable

Application binaries or libraries are no longer available on the system, and neither the REPLACE nor SUBSTITUTE option was in effect.

security and data integrity

The user lacks proper permission to restart the *statefile*, or the restart will destroy or replace data without proper authorization. Only the checkpoint owner and the superuser may restart a set of processes.

resource limitation

System resources such as disk space, memory (swap space), or number of processes allowed, ran out during restart.

other fatal failure

Some important part of a process restart failed for unknown reasons.

# **Persistence of Statefiles**

The *statefile* remains unchanged after restart—*cpr* does not delete it automatically. To free disk space, use the -**D** option of *cpr*; see the section "Deleting Statefiles."

### **Job Control Option**

If a checkpoint is issued against an interactive process or a group of processes rooted at an interactive process, it can be restarted interactively with the -**j** option. This option makes processes interactive and job-controllable. The restarted processes run in the foreground, even the original ones ran in the background. Users may issue job control signals to background the process if desired. An interactive job is defined as a process with a controlling terminal; see termio(7). Only one controlling terminal is restored even if the original process had multiple controlling terminals.

#### **Querying Checkpoint Status**

To obtain information about checkpoint status, employ the -i option of the *cpr* command, providing the *statefile* name. You may query more than one *statefile* at a time. For example, to get information about the set of processes checkpointed in *ckptSep7*, either before or after restart, type this command:

\$ cpr -i ckptSep7

This displays information about the *statefile* revision number, process names, credential information for the processes, the current working directory, open file information, the time when the checkpoint was done, and so forth.

#### **Deleting Statefiles**

To delete a *statefile* and its associated open files and system objects, use the **-D** option of the *cpr* command, providing a *statefile* name. You may delete more than one *statefile* at a time. For example, to delete the file *ckptSep7*, type this command:

\$ cpr -D ckptSep7

Only the checkpoint owner and the superuser may delete a *statefile* directory. Once a checkpoint statefile has been deleted, restart is no longer possible.

# **Graphical Interface—cview**

The *cview* command brings up a graphical interface for CPR and provides access to all features of the *cpr* command. Online help is available. The checkpoint control panel, shown in Figure 1-1, displays a list of processes that may be checkpointed.

cview	•
File	<u>H</u> elp
CHECKPOINT CONTROL PANEL	
STEP I: Display and Select a Checkpoint Target Owned by User guest	
USER PID PPID PGID SID ASH COMMAND	
guest 1090 1086 1086 612 0x1786fff00000006 sproc_many1 guest 1091 1086 1086 0 0x000000000000000 guest 1092 1086 1086 612 0x1786fff00000006 sproc_many1 guest 3097 3096 3097 3096 0x1786ffff0000015c -csh guest 3104 3097 3104 3096 0x1786fff0000015c cview	
Checkpoint the selected job as a <i>Individual Process</i>	
Enter a filename to save your job:	\$
Checkpoint for system upgrade	
STEP II: Push Here if You Need to Change Checkpoint Options	
After checkpoint, the selected job will <i>Exit</i> 🖘	
Set the following open file dispositions to <i>MERGE (no save &amp; reopen at current location)</i> =	
	_
STEP III: OK, Go Checkpoint! No, Cancel Checkpoint	
Checkpoint Control Panel Restart Control Panel	

 Figure 1-1
 Checkpoint Control Panel (cview)

Checkpoint options may be set in step II, and are explained in the section "Checkpoint and Restart Attributes." Click the right tab at the bottom to switch panels.

The restart control panel, shown in Figure 1-2, displays a list of statefiles that may be restarted. The buttons near the bottom query checkpoints and delete statefiles.

- cview	0	
File	<u>H</u> e	lp
RESTART CO	ITROL PANEL	
Display and Select Restart Statefiles and Options		
		a
/usr/people/guest	9	
		-
H	guest Thu Oct 24 19:19:51 1996 🛓 quest Wed Mar 20 14:11:08 1996	
Ť	guest Wed Sep 25 13:00:15 1996	
and the second se	root Wed Oct 23 14:31:03 1996	
h2	guest Mon Dec 18 14:22:53 1995 😑	
abi	root Mon Jul 29 18:03:53 1996	
cpr nto	guest Fri Feb 9 17:50:28 1996 root Mon Jul 29 18:04:06 1996	
pts rmt	root Mon Jul 29 18:04:06 1996 root Mon Jul 29 18:03:52 1996 🗕	
sad	root Mon Jul 29 18:05:43 1996	1
Vme	root Mon Jul 29 18:05:47 1996	
.cpr	guest Thu Oct 24 19:09:51 1996	
2196	root Wed Sep 25 13:01:14 1996	
eisa	root Mon Jul 29 18:05:29 1996	
opengl	root Mon Jul 29 18:03:31 1996	
null	root Mon Jul 29 18:03:25 1996	
parent_open	guest Tue Oct 8 18:55:29 1996	
.netscape	root Fri Jun 21 17:45:30 1996	
Restart Jobs using <i>Original Process ID (PID)</i> Don't restore the original cwd (current working of Don't restore the original root directory		
OK, Go Restart! Or, Tell Me More About This Statefile	move This Statefile	
Checkpoint Control Panel Restart Control Pa	nel	

Figure 1-2 Restart Control Panel (cview)

# **Checkpoint and Restart Attributes**

The *cpr* command reads an attribute file at start-up time to set checkpoint configuration and control restart behavior. Typical defaults are given in the */etc/cpr\_proto* sample file. You can control CPR behavior by creating a similar *.cpr* attribute file in your home directory (if \$HOME is not set, *cpr* consults the password entry). The CPR attribute file consists of one or more CKPT attribute definitions, each in the following format:

```
CKPT IDtype IDvalue {
    policy: instance: action
    ...
}
```

Possible values for *IDtype* are similar to process ID modifiers for the **-c** option of *cpr*, and are shown in Table 1-1. *IDvalue* specifies the process ID or process set ID.

 Table 1-1
 IDtype Modifier Options

IDtype	Process Type Designation	
PID	UNIX process ID.	
GID	UNIX process group ID; see setpgrp(2).	
SID	UNIX process session ID; see setsid(2).	
ASH	IRIX array session ID; see array_sessions(5).	
HID	Process hierarchy (tree) rooted at the given process ID.	
SGP	IRIX <b>sproc()</b> shared group; see sproc(2).	
*	A wild card for anything.	

The *policy* lines inside the CKPT block specify default actions for CPR to take. Possible values for *policy* are shown in Table 1-2.

 Table 1-2
 Policy Names and Actions

 Policy Name
 Domain of Action

Policy Name	Domain of Action	
FILE	Policies for handling open files.	
WILL	Actions on the original process after checkpoint.	
CDIR	Policy on the original working directory; see chdir(2).	
RDIR	Policy on the original root directory; see chroot(2).	
FORK	Policy on original process ID.	

# **FILE Policy**

The FILE policy is the only one that takes an optional *instance* field. This field specifies files that have a unique disposition, other than the default action. For example, in one case you want to replace a file, but in another case you want to append to a file. The *instance* field is enclosed in double quotes and may contain wildcards. For example, /tmp/\* identifies all files in the */tmp* directory, and /\* identifies all files in the system.

The following *action* keywords are available for the FILE policy:

MERGE	No explicit file save at checkpoint. Upon restart, reopen the file and seek to the previous offset. This is the default file disposition. It may be used for files that are not modified after checkpoint, or for files where it is acceptable to overwrite changes made between checkpoint and restart time, particularly past the saved offset point. If programs seek before writing, changes preceding the offset point could be overwritten as well.
IGNORE	No explicit file save at checkpoint. Upon restart, reopen the file as it was originally opened, at offset zero (even if originally opened for append). If the file was originally opened for writing, as with the <b>fopen()</b> "w" or "a" flag, this action has the effect of overwriting the entire file.
APPEND	No explicit file save at checkpoint. Upon restart, append to the end of the file. This disposition is good for log files.
REPLACE	Explicitly save the file at checkpoint. Upon restart, replace the original file with the saved one. Any changes made to the original file between checkpoint and restart time are overwritten by the saved file.
SUBSTITUTE	Explicitly save the file at checkpoint. Upon restart, reopen the saved file as an anonymous substitution for the original file. This is similar to the REPLACE mode except that the original file remains untouched, unless specifically altered by the program.

# **WILL Policy**

The following *action* keywords are available for the WILL policy:

- EXIT The original process exits after checkpoint. This is the default action.
- KILL Same as above. Has the same effect as the *cpr* -**k** option.
- CONT The original process continues to run after checkpoint. Has the same effect as the *cpr* -**g** option.

#### CDIR or RDIR Policy

The following action keywords are available for the CDIR and RDIR policies:

- REPLACE Set the current working directory (CDIR) or the root directory (RDIR) to those of the original process. This is the default action.
- IGNOREIgnore the current working directory (CDIR) or the root directory<br/>(RDIR) of the original process, and restart processes based on the current<br/>working directory or the root directory of the new process environment.

#### FORK Policy

The following action keywords are available for the FORK policy:

- ORIGINAL Do a special **fork()** to recover the original process ID. This is the default action.
- ANY This says it is acceptable for the application to have any process ID as its underlying process if the original process ID is already taken by another running process. In other words, the application itself, internally and in its relationship to other processes, is PID-unaware. If a set of processes is PID-unaware, the ANY action can be specified to avoid PID collisions.

There is no attribute equivalent to the *cpr* **-u** option for operating system upgrade.

#### **Example Attribute File**

The *\$HOME/cpr* file specifies a user's CPR default attributes. Here is an example of a custom *.cpr* attribute file:

CKPT PID 1111 {
 FILE: "/tmp/\*": REPLACE
 WILL CONT
 FORK ANY
}

This saves and restores all */tmp* files, allows the process to continue after checkpoint, and permits process ID substitution if needed.

Chapter 2

# Administering Checkpoint and Restart

# **Responsibilities of the Administrator**

IRIX Checkpoint and Restart<sup>TM</sup> (CPR) adds the following responsibilities to the system administrator's already long list:

- install CPR software on server systems as required
- help users employ CPR on server systems and workstations
- prevent statefiles from filling up available disk space
- delete, or encourage users to delete, unneeded old statefiles

# Installing eoe.sw.cpr

The subsystems that make up CPR are listed in Table 2-1.

Table 2-1C	CPR Product Subsystems		
Subsystem Name	Contents		
eoe.sw.cpr	Checkpoint and restart software.		
eoe.man.cpr	CPR reference manual pages.		
eoe.books.cpr	This guide as an IRIS InSight document.		

If CPR is not already installed, follow this procedure to install the software:

- 1. Load the IRIX software distribution CD-ROM.
- 2. On the server, become superuser and invoke the *inst* command, specifying the location of the CD-ROM software distribution:

```
$ /bin/su -
Password:
# inst -f /CDROM/dist
```

3. Prevent installation of all default subsystems using the *keep* subcommand:

Inst> keep \*

For additional information on *inst*, see the *IRIX Admin: Software Installation and Licensing Guide*, or the inst(1M) reference page.

4. Make subsystem selections. To install CPR software, the reference manual pages, and the CPR manuals for IRIS InSight<sup>™</sup>, enter these commands:

Inst> install eoe.\*.cpr
Inst> list i
Inst> go

The *list* subcommand with the **i** argument displays all the subsystems marked for installation. The *go* subcommand starts installation, which takes some time.

For additional information on available subsystems, see the IRIX Release Notes.

5. Ensure that the following line exists in the */var/sysgen/system/irix.sm* file (change cprstub to cpr if necessary):

USE: cpr

#### Managing Checkpoint Images

Because of their potential size and longevity, checkpoint images (statefiles) are one aspect of CPR where intervention by the system administrator may be required.

#### Statefile Location and Content

The statefile can exist anywhere on a filesystem where the user has write permission, provided there is enough disk space to store it. Statefiles tend to be slightly larger than their checkpointed process.

As the system administrator, you might want to create a policy saying that checkpoint images stored in temporary directories (such as */tmp* or */var/spool*) are not guaranteed to remain there. If users want to preserve a statefile indefinitely, they should place it in a permanent directory that they own themselves, such as their home directory.

Checkpoint images contain much information about a process, including process set IDs, copies of user data and stack memory, kernel execution states, signal vectors, a list of open files and devices, pipeline setup, shared memory, array job states, and so on.

# Monitoring a Checkpoint

To obtain information about a statefile directory, run the *cpr* command with the -i option:

\$ cpr -i statefile ...

This displays information about the statefile revision number, process names, credential information for the processes, the current working directory, open file information, the time when the checkpoint was done, and so forth.

There is no automated way to tell if a user has restarted a statefile or not. You need to ask.

#### **Removing Statefiles**

First check with the checkpoint owner to request that they remove unneeded statefiles. If there is no reply, and checkpoints are overflowing disk space, look for the oldest statefiles, especially ones in a series, as the best candidates for removal.

To delete an entire statefile directory, run the *cpr* command with the -D option:

\$ cpr -D statefile ...

Only the checkpoint owner and the superuser may delete a statefile. Once a checkpoint has been deleted, it cannot be restarted until the statefile is restored from backups.

## **Disabling User Checkpoints**

If you want to restrict user access to CPR, or if some users abuse the facility by leaving around large statefile directories, you can follow this procedure:

1. Create a "cpr" group in the CPR server's */etc/group* file, listing the users who should have access to CPR.

cpr::100:user1, user2, user3, user4, user5, user6

2. Make the *cpr* command group "cpr" and mode 4750.

# chgrp cpr /usr/sbin/cpr
# chmod 4750 /usr/sbin/cpr

To temporarily disable CPR, make the */usr/sbin/cpr* command 000 mode. To permanently shut off CPR, use the *inst* command to remove the eoe.sw.cpr subsystem.

# **Checkpointable Objects**

The following system objects are checkpoint safe. See "Checkpoint-Safe Objects" on page 23 for complete coverage of checkpoint safety.

- UNIX processes, process groups, terminal control sessions, IRIX array sessions, process hierarchies, **sproc()** groups (see sproc(2)), and random process sets
- all user memory area, including user stack and data regions
- system states, including process and user information, signal disposition and signal mask, scheduling information, owner credentials, accounting data, resource limits, current directory, root directory, locked memory, and user semaphores
- system calls, if applications handle return values and error numbers correctly, although slow system calls may return partial results
- undelivered and queued signals are saved at checkpoint and delivered at restart
- open files (including NFS<sup>®</sup>-mounted files), mapped files, file locks, and inherited file descriptors
- special files /dev/tty, /dev/console, /dev/zero, /dev/null, and ccsync(7M)
- open pipes and pipeline data
- System V shared memory (but the original shared memory ID is not restored)
- jobs started with CHALLENGEarray services, provided they have a unique ASH number; see array\_services(5)
- applications using the **prctl()** PR\_ATTACHADDR option; see prctl(2)
- applications using **blockproc()** and **unblockproc()**; see blockproc(2)
- the Power Fortran join synchronization accelerator; see ccsync(7M)
- R10000 counters; see libperfex(3C) and perfex(1)

# Non-Checkpointable Objects

The following system objects are not checkpoint safe. See "Limitations and Caveats" on page 26 for more complete coverage of unsupported system objects.

- network socket connections; see socket(2)
- X terminals and X11 client sessions

- special devices such as tape drivers and CD-ROM
- files opened with setuid credential that cannot be reestablished
- System V semaphores and messages; see semop(2) and msgop(2)

# Troubleshooting

This section provides a guide to various error messages that could appear during checkpoint and restart operations, and what these messages might indicate.

# **Failure to Checkpoint**

Checkpointing can fail for any of the reasons shown in Table 2-2.

Table 2-2	Chec	kpoint Fai	lure Messages
-----------	------	------------	---------------

Error Message	Problem Indicated	
Permission denied	Search permission denied on a pathname component of statefile.	
Resource busy	A resource required by the target process is in use by the system.	
Checkpoint error	An uncheckpointable resource is associated with the target process.	
File exists	The pathname designated by statefile already exists.	
Invalid argument	An invalid argument was passed to a function call.	
Too many symbolic links	A symbolic link loop occurred during pathname resolution.	
No such file or directory	The pathname to statefile is nonexistent.	
Not a directory	A component of the path prefix is not a directory.	
Filename too long	The pathname to statefile exceeds the maximum length allowed.	
No space left on device	Space remaining on disk is insufficient for the statefile.	
Operation not permitted	The calling process does not have appropriate privileges.	
Read-only file system	The requested statefile would reside on a read-only filesystem.	
No such process	The process or process group specified by ID does not exist.	

# Failure to Restart

Restart can fail for any of the reasons shown in Table 2-3.

**Table 2-3**Restart Failure Messages

Error Message	Problem Indicated
Permission denied	Search permission denied on a path component of statefile.
Resource temporarily unavailable	Total number of processes for user exceeds system limit.
Checkpoint error	An unrestartable resource is associated with target process.
Resource deadlock avoided	Attempted locking of a system resource would have resulted in a deadlock situation.
Invalid argument	An invalid argument was passed to the function call.
Too many symbolic links	A symbolic link loop occurred during pathname resolution.
Filename too long	The pathname to statefile exceeds the maximum length.
No such file or directory	The pathname to statefile is nonexistent.
Not enough space	Restarting the target process requires more memory than allowed by the hardware or by available swap space.
Not a directory	A component of the path prefix is not a directory.
Operation not permitted	The real user ID of the calling process does not match the real user ID of one or more processes recorded in the checkpoint, or the calling process does not have appropriate privileges to restart one or more of the target processes.

Chapter 3

# Programming Checkpoint and Restart

This chapter describes how to write applications that checkpoint and restart processes gracefully. Code samples are provided, and code fragments at the end of the chapter show sample usage of IRIX CPR<sup>TM</sup> library routines.

For applications with checkpoint-unsafe objects, the principal programming concern is setting up event handlers to perform clean-up at checkpoint time, and to restore network sockets, graphic state, tape I/O, and CD-ROM status (and so on) at restart time.

# **Design of Checkpoint and Restart**

This section describes some design issues that governed the implementation of CPR.

#### **POSIX Compliance**

IRIX Checkpoint and Restart is based on POSIX 1003.1m draft 11, and was initially implemented in IRIX release 6.3. Because POSIX draft standards often change radically from inception to approval, the interfaces in IRIX release 6.3 are not guaranteed to be fully compliant, nor can Silicon Graphics make any assurance that they will conform to the POSIX 1003.1m standard when eventually approved.

## **IRIX Extensions**

The *cpr* command is not specified in POSIX 1003.1m draft 11. It is an IRIX specific command provided for the convenience of customers; see cpr(1). The POSIX draft standard covers only the programming interfaces for checkpoint and restart.

The **ckpt\_stat()** function, which returns information about the status of checkpoint statefiles, is not specified in POSIX 1003.1m draft 11; see ckpt\_stat(3). The **ckpt\_setup()** function specified in the POSIX draft is unimplemented; when applications call this routine, it is a no-op.

# **Programming Issues**

This section describes the CPR library interfaces and signals, and shows how to write programs that set up event handlers using **atcheckpoint()** to prepare for a checkpoint, and using **atrestart()** to restore non-checkpointable system objects at restart time. See "Limitations and Caveats" on page 26 for a list of non-checkpointable objects.

#### **CPR Library Interfaces**

Application interfaces for adding CPR event handlers are contained the C library, and are listed below. For more information, see atcheckpoint(3C).

- atcheckpoint()—add an event handler function for checkpointing
- atrestart()—add an event handler function for restarting

The checkpoint and restart library interfaces are contained in the *libcpr.so* dynamic shared object (DSO). When using this library, include the *<ckpt.h>* header file:

#include <ckpt.h>

The available library routines are listed below. For more information, see ckpt\_create(3).

- ckpt\_create()—checkpoint a process or set of processes into statefiles
- ckpt\_restart()—resume execution of checkpointed process or process group
- ckpt\_stat()—retrieve status information about a checkpoint statefile
- ckpt\_remove()—delete a checkpoint statefile directory
- **ckpt\_setup()**—control checkpoint creation attributes (currently a no-op)

In the discussion below, set of processes can mean one process, or a group of processes.

# SIGCKPT and SIGRESTART

When a program (such as the *cpr* command) calls **ckpt\_create()** to create a checkpoint, that function sends a SIGCKPT signal to the set of processes specified by the checkpoint ID argument to **ckpt\_create()**. Applications add an event handler to catch SIGCKPT if they need to restore non-checkpointable objects such as network sockets, graphic state, or file pointers to CD-ROM. The default action is to ignore SIGCKPT.

After sending a SIGCKPT signal, **ckpt\_create()** waits for the application to finish its signal handling, before CPR proceeds with further checkpoint activities after SIGCKPT. At restart time, the first thing **ckpt\_restart()** runs is the application's SIGRESTART signal handler, if one exists. This implies that checkpoint and restart can "get stuck" in the SIGCKPT and SIGRESTART handling routines.

When a program calls **ckpt\_restart()** to resume execution from a checkpoint, the restart function sends a SIGRESTART signal to the set of processes checkpointed in the statefile specified by the *path* argument to **ckpt\_restart()**. Applications add an event handler to catch SIGRESTART if they need to restore non-checkpointable objects such as sockets, graphic state, or CD-ROM files. The default action is to ignore SIGRESTART.

#### Adding Event Handlers

The SIGCKPT and SIGRESTART signals are not intended to be handled directly by an application. Instead, CPR provides two C library functions that allow applications to establish a list of functions for handling checkpoint and restart events.

The **atcheckpoint()** routine takes one parameter—the name of your application's checkpoint handling function—and adds this function to the list of functions that get called upon receipt of SIGCKPT. Similarly, the **atrestart()** routine registers the specified callback function for execution upon receipt of SIGRESTART.

These functions are recommended for use during initialization when applications expect to be checkpointed but contain checkpoint-unsafe objects. An application may register multiple checkpoint event handlers to be called when checkpoint occurs, and multiple restart event handlers to be called when restart occurs.

At checkpoint time and at restart time, registered functions are called in the same order as the first-in-first-out order of their registration with **atcheckpoint()** or **atrestart()** respectively. This is an important consideration for applications that need to register multiple callback handlers for checkpoint or restart events.

**Caution:** If applications catch the SIGCKPT and SIGRESTART signals directly, it could undo all of the automatic CPR signal handler registration provided by **atcheckpoint()** and **atrestart()**, including CPR signal handlers that some libraries may reserve without the application programmer's knowledge.

#### **Preparing for Checkpoint**

If an application needs to restore network sockets, graphic state, tape I/O, CD-ROM mounts, or some other non-checkpointable system object, it should set up automatic checkpoint and restart event handlers using the recommended library routines.

The following sample code calls **atcheckpoint()** and **atrestart()** to set up functions for handling checkpoint and restart events. It is possible for this setup to fail on operating systems that do not (yet) support CPR.

Example 3-1 Checkpoint and Restart Event Handling

```
#include <stdlib.h>
#include <ckpt.h>
extern void ckptSocket(void);
extern void ckptXserver(void);
extern void restartSocket(void);
extern void restartXserver(void);
main(int argc, char *argv[])
ł
    int err = 0;
    if ((atcheckpoint(ckptSocket) == -1) ||
        (atcheckpoint(ckptXserver) == -1) ||
        (atrestart(restartSocket) == -1) ||
        (atrestart(restartXserver) == -1))
            perror("Cannot setup checkpoint and restart handling");
    /*
     *
       processing ...
     * /
    exit(0);
}
```

# Handling a Checkpoint

Suppose your program mounts an ISO 9660 format CD-ROM, from which it reads data as a basis for more complex processing. Since the CD-ROM is not a checkpointable object, your program needs to record the file pointer position, close all open files on CD-ROM, and perhaps unmount the CD-ROM device.

The following sample code marks the current file position in the open *cdFile*, saves it for restoration at restart time, closes *cdFile*, and unmounts the CD-ROM.

```
Example 3-2
Routine to Handle Checkpoint
#include <sys/types.h>
#include <sys/mount.h>
#include <stdio.h>
extern char *cdFile;
extern FILE fpCD;
long cdOffset;
catchCKPT()
{
    cdOffset = ftell(fpCD);
    fclose(fpCD);
    umount("/CDROM");
    exit(0);
}
```

**Note:** The checkpoint event handler should return directly to its calling routine—it must not contain any **sigsetjmp()** or **siglongjmp()** code.

#### **Checkpoint Time-outs**

For programs that must wait for some external condition before exiting the checkpoint event handling function, it might be wise to set a time-out. For example, if a program is waiting for data to arrive over a TCP socket that must be shut down before checkpoint, and the data never arrive, the program should not wait forever.

The **alarm()** system call sends a SIGALRM signal to the calling program after a specified number of seconds. Since the default action for SIGALRM is for the program to exit, put this call near the top of the checkpoint handling routines to set a one-minute time-out.

```
Example 3-3 Setting an Alarm in Callback
extern int sock; /* file descriptor for socket */
catchCKPT()
{
    alarm(60);
    close(sock);
    exit(0);
}
```

#### Handling a Restart

Suppose your program that unmounted the ISO 9660 CD-ROM at checkpoint time is restarted with the *cpr* command. Now it needs to ensure that the CD-ROM is mounted, reopen the formerly active file, and seek to the previous file offset position. Once it accomplishes all that, your program is ready to continue reading data from the CD-ROM.

The following sample code waits for the CD-ROM to become mounted, then reopens the *cdFile*, and seeks to the remembered offset position in *cdFile*.

#### Example 3-4 Routin

Routine to Handle Restart

```
#include <unistd.h>
#include <stdio.h>
extern char *cdFile;
extern FILE fpCD;
extern long cdOffset;
catchRESTART()
ł
    while (access("/CDROM/data", R_OK) == -1) {
       perror("please insert CDROM");
        sleep(60);
    }
    if ((fpCD = fopen(cdFile, "r")) == NULL)
        perror("cannot open cdFile");
    if (fseek(fpCD, cdOffset, SEEK_SET))
        perror("cannot seek to cdOffset");
}
```

**Note:** The restart event handler should return directly to its calling routine—it must not contain any **sigsetjmp()** or **siglongjmp()** code.

# Checkpoint and Restart of System Objects

Due to the nature of UNIX process checkpoint and restart, it is hard, if not impossible, to claim that everything that an original process owns or connects with can be restored. The following list defines what is clearly supported (checkpoint safe), and what limitations are known to exist. For items not listed, application writers and customers must decide what is checkpoint-safe.

# **Checkpoint-Safe Objects**

All known checkpoint-safe entities are listed below.

#### **Supported Process Groupings**

CPR works on UNIX processes, process groups, terminal control sessions, IRIX array sessions, process hierarchies (trees of processes started from a common ancestor), IRIX **sproc()** share groups (see sproc(2)), and random process sets.

#### **User Memory**

All user memory regions are saved and restored, including user stack and data regions. Note that user text, without being saved at checkpoint time, is remapped directly at restart from the application binaries and libraries. However, by using REPLACE as the file disposition default, even user texts can be saved. The saved texts may not replace the originals if the originals are not changed after the checkpoint. Locked memory regions are restored to remain locked at restart.

#### System States in Kernel

Most of the important kernel states are restored at restart to be identical to the original ones, such as basic process and user information, signal disposition and signal mask, scheduling information, owner credentials, accounting data, resource limits, current working directory, root directory, user semaphores (see usnewsema(3P)), and so on.

#### System Calls

All system calls are checkpoint safe as long as the applications are handling the system call returns and error numbers correctly. Fast system calls are allowed to finish before checkpoint proceeds. Slow system calls are interrupted and may return to the calling routine with partial results. Applications using system calls that can return partial results need to check for and be prepared to deal with partial results. Slow system calls with no results are transparently reissued at restart.

A number of selected system calls are handled individually. The **sleep()** call is always reissued for the entire period again, since its definition guarantees sleeping at least the amount requested; see sleep(3C). Restart of the **alarm()** system call is more accurate—the remainder of time recorded at checkpoint elapses before it times out; see alarm(2).

#### Signals

Undelivered signals and queued signals are saved at checkpoint and delivered at restart.

#### **Open Files and Devices**

Processes with regular open files or mapped files, including NFS mounted files, can be checkpointed and restarted without many restrictions as long as users choose the correct file disposition in the CPR attribute file, as described in the section "Checkpoint and Restart Attributes" on page 8.

All file locks are also restored at restart. If the file regions that the restarting process needs to lock have already been locked by another process, CPR tries to acquire the locks a few times before it aborts the restart.

Supported special files are /dev/tty, /dev/console, /dev/zero, /dev/null, and ccsync(7M).

Inherited file descriptors are restored at restart. Applications using  $R10000^{TM}$  counters through the */proc* interface are checkpoint safe, provided the */proc* file descriptor is closed.

#### **Open Pipes**

Applications with SVR3 or SVR4 pipes open can be checkpointed and restarted without restrictions. Pipe data is also saved and restored.

#### **SVR4 Shared Memory**

Applications using SVR4 shared memory can be checkpointed and restarted with the limitation that the *shmid* (shared memory ID) may not be restored to have its original value; see shmop(2). This implies that applications using SVR4 shared memory should not operate directly on *shmid*.

#### **Application Licensing**

Applications using node-lock licenses (one license per machine) are generally safe for checkpoint and restart.

Applications using floating licenses may be safe for checkpoint and restart, depending on the license library implementation. The FLEMIm library will include **atcheckpoint()** and **atrestart()** event handlers after the IRIX 6.4 release.

If your license library employs open-and-warm sockets without CPR-aware handlers, you should do one of the following:

- Add atcheckpoint() and atrestart() event handlers to your application. The atcheckpoint() handler should disconnect license checking, and the atrestart() handler should reconnect license checking.
- Ask your license software vendor to add similar handlers to their license library.

### **Network Applications Using Array Services**

Jobs started with POWER CHALLENGEarray or CHALLENGEarray services can be checkpointed and restarted, provided the jobs have a unique ASH (array session handle) number; see array\_services(5). Array services jobs may use several methods to generate a new ASH, including calling **newarraysess()**; see newarraysess(2).

During an array checkpoint, a checkpoint server is responsible for starting, monitoring, and synchronizing all checkpoint clients running on its different machines based on the given ASH. Statefiles are saved locally on each machine for all processes with the given ASH running on that machine. Restart occurs in a similar fashion, with the restart server synchronizing with all local restart clients to restore all processes on different machines.

An interactive array job with a controlling terminal on a given machine has to be checkpointed and restarted from that very same machine. Otherwise the controlling terminal cannot be restored.

### **Other Supported Functionality**

Applications using **blockproc()** and **unblockproc()** are checkpoint safe; see blockproc(2).

Memory regions added by calling **prctl()** with the PR\_ATTACHADDR argument can be safely checkpointed and restarted; see prctl(2).

The Power Fortran join synchronization accelerator is checkpoint safe; see ccsync(7M).

Applications using R10000 counters are checkpoint safe; see libperfex(3C) or perfex(1).

### **Compatibility Between Releases**

A statefile checkpointed in any current release will most likely be able to restart in future releases, owing to the object-oriented architecture of the CPR implementation.

With certain limitations, an object of system functionality available in any current release will be remapped to some new replacement object at restart if the original object becomes obsolete in a future release.

### **Limitations and Caveats**

Various CPR restrictions and warnings are listed below.

### SVR4 Semaphores and Messages

Applications using SVR4 semaphores, or SVR4 messages, cannot be checkpointed and restarted; see semop(2) or msgop(2), respectively.

### **Networking Socket Connections**

Generally speaking, an application with open socket connections (see socket(2)) should not be checkpointed and restarted without special CPR-aware signal handling code. An application needs to catch SIGCKPT and SIGRESTART, and run signal handlers to disconnect any open socket before checkpoint, and reconnect the socket after restart.

For applications using the MPI (message passing interface) libraries, a checkpoint may be safe if all networking connections are done by means of MPI libraries, instead of by directly opening sockets. For more information, refer to the *MPI and PVM User's Guide*, or see mpi(5).

### **Other Special Devices**

Any device or special file not listed in section "Open Files and Devices" on page 24 as a checkpoint-safe device can be considered not supported for checkpoint and restart. This includes tape, CD-ROM, and other special real or pseudo devices. Again, applications need to close these devices before checkpoint by catching SIGCKPT, and reopen them after restart by catching SIGRESTART.

### Graphics

X terminals, and other kinds of graphics terminals, are not supported. Applications with these devices open have to be CPR-aware and do proper clean-up by catching SIGCKPT and SIGRESTART and calling appropriate signal handling routines. (This is similar to how socket connections should be handled.)

### **Miscellaneous Restrictions**

A potential problem exists with **setuid()** programs. When restarting resources such as file descriptors, locks acquired with a different (especially higher) privilege may not succeed. For example, a root process may first open some files, and then call **setuid()** to become a guest. If this process is checkpointed after the **setuid()** call, the corresponding restart will fail because the files opened with the root credential cannot be reopened with the guest credential. Similar restrictions apply for a non-root process' inherited resources, such as file descriptors inherited from a root process.

### Saving State With ckpt\_create()

The **ckpt\_create()** function checkpoints a process or set of processes into a statefile. The following code shows sample usage of the **ckpt\_create()** function.

# Example 3-5 Sample Usage of ckpt\_create() Function #include <ckpt.h> static int do\_checkpoint(ckpt\_id\_t id, u\_long type, char \*pathname) { int rc; printf("Checkpointing id %d (type %s) to directory %s\n", id, ckpt\_type\_str(CKPT\_REAL\_TYPE(type)), pathname); if ((rc = ckpt\_create(pathname, id, type, 0, 0)) != 0) { printf("Failed to checkpoint process %lld\n", id); return (rc); } return (0); }

The global variable *cpr\_flags*, defined in *<ckpt.h>*, permits programmers to specify checkpoint-related options. The following flags may be bitwise ORed into *cpr\_flags* before a call to **ckpt\_create()**:

CKPT\_CHECKPOINT\_CONT

Have checkpoint target processes continue running after this checkpoint is finished. This overrides the default WILL policy, and the WILL policy specified in a user's CPR attribute file.

### CKPT\_CHECKPOINT\_KILL

Kill checkpoint target processes after this checkpoint is finished. This is the default WILL policy, but overrides a CONT setting in a user's CPR attribute file.

### CKPT\_CHECKPOINT\_UPGRADE

Use this flag only when issuing a checkpoint immediately before an operating system upgrade. This forces a save of all executable files and DSO libraries used by the current processes, so that target processes can be restarted in an upgraded environment. This flag must be used again if restarted processes are again checkpointed in the new environment.

### CKPT\_OPENFILE\_DISTRIBUTE

Instead of saving open files under *statefile*, save open files in the same directory where they reside, and assign a unique name to identify them. For example, if a checkpointed process had the */etc/passwd* file open with this flag set, the open file would be saved in */etc/passwd.ckpt.pidXXX*. Although security could be a concern, this mode is useful when disk space is at a premium.

Since *cpr\_flags* is a process-wide global variable, make sure to reset or clear flags appropriately before a second call to **ckpt\_create()**.

### Resuming With ckpt\_restart()

The **ckpt\_restart()** function resumes execution of a checkpointed process or processes. The following code shows sample usage of the **ckpt\_restart()** function.

### Example 3-6

Sample Usage of ckpt\_restart() Function

```
#include <ckpt.h>
static int
do_restart(char *path)
{
    printf("Restarting processes from directory %s\n", path);
    if (ckpt_restart(path, 0, 0) < 0) {
        printf("Restart %s failed\n", path);
        return (-1);
    }
}</pre>
```

The global variable *cpr\_flags*, defined in *<ckpt.h>*, permits programmers to specify restart-related options. The following flag may be bitwise ORed into *cpr\_flags* before a call to **ckpt\_restart()**:

CKPT\_RESTART\_INTERACTIVE

Make a process or group of processes interactive (that is, subject to UNIX job-control), if the original processes were interactive. The calling process or the calling process' group leader becomes the group leader of restarted processes, but the original process group ID cannot be restored. Without this flag, the default is to restart target processes as an independent process group with the original group ID restored.

Since *cpr\_flags* is a process-wide global variable, make sure to reset or clear flags appropriately before a second call to **ckpt\_restart()**.

# Checking Status With ckpt\_stat()

The **ckpt\_stat()** function retrieves status information about a checkpoint statefile. The following code shows sample usage of the **ckpt\_stat()** function.

# Example 3-7 Sample Usage of ckpt\_stat() Function

```
#include <ckpt.h>
static int
ckpt_info(char *path)
{
    ckpt_stat_t *sp, *sp_next;
    int rc;
    if ((rc = ckpt_stat(path, &sp)) != 0) {
        printf("Cannot get information on CPR file %s\n", path);
        return (rc);
    }
   printf("\nInformation About Statefile %s (%s):\n",
        path, rev_to_str(sp->cs_revision));
   while (sp) {
        printf(" Process:\t\t%s\n", sp->cs_psargs);
        printf(" PID,PPID:\t\t%d,%d\n", sp->cs_pid, sp->cs_ppid);
        printf(" PGRP,SID:\t\t%d,%d\n", sp->cs_pgrp, sp->cs_sid);
        printf(" Working at dir:\t%s\n", sp->cs_cdir);
        printf(" Num of Openfiles:\t%d\n", sp->cs_nfiles);
        printf(" Checkpointed @\t%s\n", ctime(&sp->cs_stat.st_mtime));
```

```
sp_next = sp->cs_next;
free(sp);
sp = sp_next;
}
return (0);
```

# Removing Checkpoints With ckpt\_remove()

}

The ckpt\_remove() function deletes a checkpoint statefile directory.

The following code shows sample usage of the **ckpt\_remove()** function.

```
Example 3-8 Sample Usage of ckpt_remove() Function
#include <ckpt.h>
static int
do_remove(char *path)
{
    int rc = 0;
    if ((rc = ckpt_remove(path)) != 0) {
        printf("Remove checkpoint statefile %s failed\n", path);
        return (rc);
    }
}
```

# Preparing Checkpoints With ckpt\_setup()

This function, described in the POSIX draft standard, is implemented as a no-op.

The following code shows the current implementation of the **ckpt\_create()** function.

```
Example 3-9 Implementation of ckpt_setup() Function
int ckpt_setup(struct ckpt_args *args[], size_t nargs)
{
    return(0);
}
```

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Appendix A

# **Online Help**

This appendix contains help screens accessible from the *cview* window's Help menu. Overview IRIX Checkpoint and Restart<sup>™</sup> (CPR) is a facility for saving a running process or set of processes and, at some later time, restarting the saved process(es) from the point already reached. A checkpoint image is saved in a directory, and restarted by reading saved state from this directory to resume execution. The *cview* window provides a graphical user interface for checkpointing, restarting checkpoints, querying checkpoint status, and deleting statefiles. Two tabs at the bottom of the cview window select either the checkpoint or restart control panel. How to Checkpoint Under the STEP I button, select a process or set of processes from the list. To checkpoint a process group, a session group, an IRIX array session, a process hierarchy, or an **sproc** shared group, select a category from the Individual Process drop-down menu. In the filename field below, enter the name of a directory for storing the statefile. Click the STEP II button if you want to change checkpoint options, such as whether to exit or continue the process, or control open file and mapped file dispositions. Click the STEP III OK button to initiate the checkpoint, or the Cancel Checkpoint button to discontinue. How to Restart Click the Restart Control Panel tab at the bottom of the *cview* window. From the scrolling list of files and directories, select a statefile to restart. Note that all files and directories are shown, not just statefile directories. If a statefile is located somewhere besides your home directory, change directories using the icon finder at the top.

Select any options you want, such as whether to retain the original process ID, whether to restore the original working directory, or whether to restore the original root directory.

Click the OK Go Restart button to initiate restart.

### **Querying a Statefile**

Click the Restart Control Panel tab at the bottom of the *cview* window.

From the scrolling list of files and directories, select a statefile to query. Note that all files and directories are shown, not just statefile directories. If a statefile is located somewhere besides your home directory, change directories using the icon finder at the top.

At the bottom of the cview window, click the Tell Me More About This Statefile button.

### **Deleting a Statefile**

Click the Restart Control Panel tab at the bottom of the *cview* window.

From the scrolling list of files and directories, select a statefile to delete. Note that all files and directories are shown, not just statefile directories. If a statefile is located somewhere besides your home directory, change directories using the icon finder at the top.

At the bottom of the *cview* window, click the Remove This Statefile button.

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